



Charles Victor Willcox

Counsel, FATCA/CRS and Regulatory Advisor

Charlie is a financial services professional with over 45 years of experience in the industry. He focused over the last 25 years on the areas of risk management and control, specifically internal audit, compliance and operational risk, in the wealth management and private client arenas in multiple jurisdictions.

Since 2012 Charlie has focused purely on the FATCA and CRS reporting regimes, firstly project managing their implementation across the global group of a large multi-family office and subsequently assuming responsibility for ensuring ongoing compliance. He is an acknowledged expert in this area and now advises firms on all aspects of these regimes and regularly speaks at conferences and holds seminars on the subject.

Charlie has a strong focus on adding value by providing practical solutions to issues and problems identified but he is also not afraid to challenge management where business practices expose the firm to serious risk of regulatory breach or an unacceptable level of reputational damage.

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Focus Areas

Risk management and control – specifically internal audit – compliance and operational risk – wealth management – CRS – FACTA

Experience

2022 – Present	<i>Counsel, Alithis AG</i>
2021 – Present	<i>FATCA/CRS and Regulatory Advisor, Helm AG</i>
2009 – 2021	<i>Director (2006-2021), Stonehage Fleming S.A.</i>
2006 – 2009	<i>Senior Compliance Manager and Group Internal Auditor, Stonehage Fleming Financial Services Limited</i>
2001 – 2005	<i>Senior Manager, Financial Services Authority (United Kingdom)</i>
1998 – 2000	<i>Senior Manager, Coutts & Co Group Operational Risk</i>
1994 – 1997	<i>Senior Manager, Coutts & Co Group Internal Audit</i>
1974 – 1993	<i>Manager, NatWest Group</i>

Education

1966 – 1974

Raynes Park Grammar School, London, United Kingdom

Memberships and Offices

Member of the Institute of Internal Auditors (UK) and Associate of the Chartered Institute of Bankers